ACTIVE NAMES: FLEXIBLE LOCATION AND TRANSPORT OF WIDE-AREA RESOURCES

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USENIX

THE ADVANCED COMPUTING SYSTEMS ASSOCIATION

Active Names: Flexible Location and Transport of Wide-Area Resources[*]

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Abstract

In this paper, we explore flexible name resolution as a way of supporting extensibility for wide-area distributed services. Our approach, called Active Names, maps names to a chain of mobile programs that can customize how a service is located and how its results are transformed and transported back to the client. To illustrate the properties of our system, we implement prototypes of server selection based on end-to-end performance measurements, location-independent data transformation, and caching of composable active objects and demonstrate up to a five-fold performance improvement to end users. We show how these new services are developed, composed, and secured in our framework. Finally, we develop a set of algorithms to control how mobile Active Name programs are mapped onto available wide-area resources to optimize performance and availability.

1   Introduction

In this paper, we address the question: what should the architecture be for deploying advanced distributed services across the Internet? We argue for a programmable naming abstraction called Active Names that combines location and transport of resources, provides end-to-end programmability, supports composibility of different extensions, and supports mobile location-independent code.

This approach is motivated both by efforts to extend the current Internet Domain Naming System, DNS [38], and by other recent efforts to interpose services between clients and servers. Historically, DNS was developed

to support a simple one-to-one mapping from machine names to IP addresses, but today Internet services identified by a single name are often distributed across many machines, which has lead to application [7], router [14], and DNS [33] enhancements to this basic mapping abstraction. More broadly, academic and industrial researchers have proposed a bewildering array of new services for mediating between clients and servers, including dynamic redistribution of replicas over the wide area [49], compression and distillation of multimedia content [3, 22], proxy cache extensions such as support for hit counting and dynamic content [11, 48], client customization of web content [54], network address translators (NATs) [20], packet delivery services for mobile hosts [13], and so forth.

One approach to deploying such extensions would be to develop a new protocol that closely coordinate browsers, proxies, servers, and the name system to support these extensions. But such a system would be complex and difficult to modify as new services are desired or improvements are developed for existing services. To address this need for rapid deployment and extensibility of Internet services, a variety of proposals have been made to support "active" (dynamically migratable) computation inside the network, leveraging machine-independent languages such as Java [23]. Example "active" networking proposals include Active Networks [53], Active Caches [11], Active Services [3], RentAServer [49], and others. In addition, a number of proposals have been made for single point (non-migratable) extension code, such as HTTP front ends [22], NATs, and router-based load balancing [14]. These approaches have varied in where in the protocol stack the computation is applied – from packet filtering to connection filtering to transparent proxies to giving up on transparency and making the application responsible for everything.

If active computation can be applied anywhere in the protocol stack, where in the protocol stack *should* it be done? In this paper, we argue for *active naming* as a

unifying principle to efficiently support the composibility of a wide variety of new services while providing correct end-to-end behavior. Our Active Names system maps a name to a chain of mobile programs responsible for locating the remote service and transporting its response to the destination. A service owning a portion of the namespace has complete control over which protocols are used to access the service along with control over where in the network those protocols run. Similarly, a client machine can use Active Names to customize how services are presented to the user. For example, when a mobile user with a small screen and expensive wireless connection in Europe refers to "cnn.com", the user probably wants to go to a different replica, fetch different data, and transform that data differently than a user in the United States with a large screen and T3 connection.

We have constructed a prototype Active Naming system and have used it to implement and study a set of complex distributed services including (i) replica selection based on end-to-end performance observations, (ii) image retrieval protocols that migrate distillation code dynamically around the network to optimize client response time, and (iii) a cache enhanced to support both client customization and caching of active content. These experiments demonstrate up to five-fold performance improvements for end-users of our system.

We do not believe that any set of experiments can "prove" that extensibility is a good idea since any algorithm that demonstrates large gains in an extensible system could, in principle, be deployed as part of a new, non-extensible protocol. Instead of trying to resolve the argument of whether extensibility is desirable, we begin with the premise that it is, and then we examine the properties of the Active Naming architecture and programming model as a way to support advanced Internet services. In particular, our experiments provide evidence (1) that the system is "complete" in that it can support a wide variety of extensions, (2) that several extensions that can be easily constructed on our system can significantly improve performance compared to existing protocols, (3) that end-to-end performance information can be easily gathered in our model and that providing end-to-end performance information is important for building simple algorithms with good performance, (4) that the programming model supports location-independent program execution and that location independence is important for performance, and (5) that the programming model supports efficient composibility of extensions and that composibility is important for performance.

One might argue that a significant drawback to Active Names is that we modify the existing naming abstraction. Traditionally, Internet name resolution returns an

IP address; the application uses the IP address to establish a socket connection to the end host. With Active Names, name resolution and retrieval of the specified resource is a single step that combines location and transport. A similar debate on the structure of naming has occurred in file systems and databases; the conclusion has been that it is dangerous to separate naming from use [42]. Superficially, it can seem simpler to have the naming system return an ID (IP address, inode number, or physical memory location) that is then used by the system to access the named resource. However, if the ID is visible to applications, the ID becomes hard state, something that must continue to be supported by the system even after the binding has changed or the reference has become invalid. Also, to support legacy applications, this change can often be hidden inside an application-specific proxy; for example, web browsers can be configured to connect to a proxy that mediates the browser's interaction with the network.

The remainder of this paper covers these issues in more detail. We first discuss the strengths and weaknesses of Active Names by contrasting it to two popular alternatives. We next outline the architecture of our system; we then describe several applications we have built on top of our system. We conclude with related work and a summary of our contributions.

2 Background

To motivate our decision to provide extensibility via naming, we compare our approach to two popular alternatives, Active Networks and Active Services, that comprise extreme endpoints in this design space. At one extreme, by applying arbitrary computation on packets as they flow through routers, Active Networks can be completely general and transparent to end hosts; however, this transparency comes at a cost of both efficiency and in making it more difficult to express end-to-end semantics. At the other extreme, Active Services provides a framework for applications to execute arbitrary computation in the network; however, each application is free (even encouraged) to link with a different framework customized to its needs, making it more difficult to share extensions across applications. Active Names attempt to combine the best of both worlds; of course, our approach has its own set of limitations.

A principal advantage of our approach is that naming is at the top of the network protocol stack; by hijacking name resolution, we can gain control over (and therefore can extend) any network access. At the other end of the spectrum, hijacking packet processing inside routers likewise offers the ability to extend any network access. For example, consider an anycast service that

routes client requests to the "best" of several different servers according to some selection criteria. In our system, such a new policy for server selection can be implemented by mapping the service name to a program that selects the replica. Equivalently, the same policy could be implemented at the packet level inside programmable routers by mapping the name to a group address, and then dynamically routing packets to the desired server.

However, extending names offers simpler end-to-end failure semantics than is possible when extensibility is applied further down the protocol stack. Today, it is possible to build highly available services inside of a machine room [4, 44]. However, the end-to-end availability of wide-area services further depends on external factors such as power outages and whether packets can be routed from a client to the machine room. For example, routing pathologies can make a service appear unavailable to some clients even though the service is otherwise "up". To cope with these external factors, the service needs to be replicated at multiple geographic locations, each of which may fail independently. In the case of many read-only replicas, it is straightforward to redirect requests to a failed replica to any member of the group at multiple points in the protocol stack. However, for replicas that are writable, maintain state, or require authentication, the recovery protocol can require the coordinated activity of both the client and other replicas. For example, Bayou guarantees session consistency by restricting clients to bind only to replicas that have seen the client's updates [46]. Implementing session consistency correctly via transparent packet processing requires the network to maintain hard state about the behavior of the client; worse, this state largely duplicates what the client already has stored in its cache. Cheriton and Skeen [12] have cataloged numerous examples where failure recovery cannot be correctly implemented inside a transparent transport layer protocol. In our model, replica failures can be either reflected back to the client or handled transparently, under control of the program providing the binding between the name and the server.

Active Names is also more efficient than packet level filtering for those services that can be provided within our model. By interposing on connection setup, the overhead of programmability is typically paid once per connection, instead of once per packet. However, there are some services which cannot be provided above the packet layer and thus are not supported by our system; these include packet-level scheduling and resource allocation in routers and multi-host transparent packet filtering such as firewalls.

At the other extreme, some researchers have proposed customized application-level frameworks as a way of supporting application-specific computation inside the network. For example, the Active Services framework implements dynamically relocatable multimedia gateways; they suggest that different frameworks would be needed to support different applications [3]. Our approach differs from Active Services in that we are trying to provide a single, general-purpose framework capable of supporting the composition of a wide range of new services. Our goal is to allow Active Name modules to be developed and reused in a variety of contexts [30]; for instance, Active Services supports customizable filtering at the media gateways, but does not support customizable protocols for locating, managing, or communicating with the gateways, nor does the framework support dynamic installation of new implementations of client software. Except for the code to transform the multimedia stream to fit a limited link capacity, the client-gateway and gateway-server protocols in Active Services are fixed and non-extensible. By contrast, Active Names allows all aspects of service location and transport to be customized by the namespace owner; code is referenced by name, allowing us to use Active Names to locate and download new implementations of extension code whenever the namespace binding is changed.

3 Active Name Architecture

To support the functionality discussed above, four goals drive our architectural decisions. The architecture must (i) support customization and extensibility of each namespace, (ii) support composibility of different namespace customizations, (iii) support the efficient use of network resources, and (iv) support location-independent execution of namespace resolution.

Our core system is simple, and we will describe it by first providing an overview and then examining four key concepts of the design: the microkernel approach, location independent active name programs, namespace delegation, and the after-methods programming model. Because one of the major motivations of Active Names is to improve the performance of clients accessing services, our design is careful to allow room for several performance optimizations that complicate an otherwise straightforward design.

The core of the system is fully functional, and we have constructed a number of useful applications. The system is complete and stable enough for our own internal use. As detailed below, some aspects of security have not been fully integrated into the prototype.
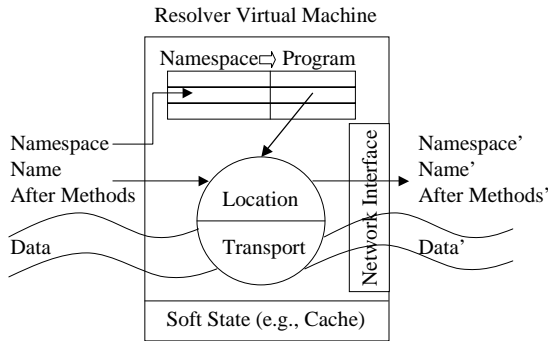
Figure 1: Active Names Architecture Summary.

3.1 Overview

A client that wishes to access a service constructs an Active Name for that service consisting of a *name* to resolve and the name of a *namespace program* to resolve it. The client then hands the name to the nearest *resolver*, which executes the namespace program to begin resolution of the name. Figure 1 illustrates the basic Active Names architecture.

A namespace program has two tasks: it must locate the next program to run and then transport data to that program. In doing so, namespace programs effectively establish a path through a series of namespace programs from request-source to reply-sink. Each program then acts as a filter that transports and transforms its input to its output.

A program locates the next program to run using two mechanisms. First, each Active Name is resolved recursively using a hierarchical delegation mechanism: a client specifies a root namespace program which partially resolves the name and determines which namespace program has jurisdiction over the remainder of the name; the root program then hands the partially-resolved name to the next namespace program, which continues the process. Second, to support composability of services and to increase efficiency, the programming model follows a continuation passing style: as names are resolved the system constructs an *after methods list* that uses a list of Active Names to describe a pipeline of services that will transport a result to its destination. Thus, once a name is recursively resolved to a service, rather than returning the service's output through the same call path used to resolve it, the leaf namespace program pops the top Active Name from the after methods list and resolves that Active Name and the remaining after methods list to transport the service's output to the client.

Resolvers provide the basic resource necessary to execute namespace programs, and they are distributed through the system. In principle, resolvers may be located anywhere, but in practice they are most useful when they are located at "interesting" points in the network: near clients, near servers, or near bottleneck network links. We envision a system that provides suitable resolver infrastructure at such points.

3.2 Microkernel Approach

To support extensibility, the architecture follows a microkernel philosophy of providing a basic set of building blocks and allowing services and clients maximum freedom to customize the systems for their needs. At a minimum, each resolver must provide a loader for fetching and loading an active name program, safe execution of untrusted code, local soft state, and interfaces for communicating with and invoking programs on remote nodes.

For safe execution, our prototype relies on the Java-2 security system [52], but we could have just as easily chosen another mechanism such as hardware protection domains, or software fault isolation [51]. On top of this basic security mechanism, individual programs define policies for delegating namespaces they control and for accepting requests from other namespaces.

To provide a hook for Active Name programs to enforce security, the interface also provides as input a capability certificate that identifies the caller and which may grant a subset of the caller's rights to the callee. If the program is invoked from a remote node, the certificate will be authenticated via encryption techniques; if the program is called locally, the identity of the caller is guaranteed by the integrity of the local operating system. An Active Name program is free to use this information about the caller for access control. For example, a program could choose to run only on behalf of previously registered users. Similarly, if a program needs to enforce that its after-method is invoked, the downstream program the right to reply to it but not the right to reply to the original caller. Certificates may also be required from the machines used to run the programs and after-methods, since a program's results should not be trusted unless it is run on trusted machines. We expect Active Names programs to leverage the work of other researchers in showing how to provide authentication and access control for mobile computation [2, 6]. We have implemented a prototype of such a certificate-based capability system, but we have not yet integrated this functionality into the Active Names prototype.

In a production system, nodes would enforce resource limitations using technology such as Jres [15]; such functionality is not implemented in our prototype.

3.3   Active Name Programs

To support efficient use of network resources, location-independent Active Name programs represent services and handle name resolution for them.

To run a namespace program, resolvers must first fetch and load the code for the program. Like other resources in our system, code is identified by the Active Name that describes how to locate it and transport it to the resolver. This allows us to use the Active Name system itself to load programs, which provides the ability to customize how to locate an available or nearby replica storing the program, to maintain version consistency, or to compress/decompress the binary. Of course, the recursion has to stop somewhere: a small number of initial programs (e.g., DNS, HTTP, etc.) are loaded onto each Active Name machine to bootstrap the system.

Given that Active Names programs can run in any resolver, an important question is determining where they should run. Each program is responsible for locating the data and computational resources it needs to complete its task, and each program works to minimize the cost of accessing these resources. For example, if a pipeline of programs needs data from a particular node, each step in the pipeline should take the request closer to the data. The details of how these decisions are made are namespace-dependent and can range from simple (e.g., the name being resolved includes the IP address of the node on which to run) to sophisticated (e.g., running a cost/benefit analysis comparing several different locations). Because location preferences are namespace dependent, one program does not typically know where the next prefers to run. Therefore a program generally invokes the next program locally; if the invoked program prefers to run somewhere else, it uses the remote execution interface to invoke the same program on a resolver node more to its liking.

3.4   Hierarchical Name Space

Active Names are organized hierarchically into *namespaces*, analogous to domains in the Domain Naming System (DNS) and directories in a UNIX file system. Names within a namespace can be, in turn, namespaces (subdomains in DNS or subdirectories in UNIX); they can also be terminal leaves in the naming tree (machines in DNS, files in UNIX). Each namespace has a program associated with it that is responsible for interpreting that portion of the namespace; this program is free to interpret the names within the namespace in any fashion it wants. A root namespace interprets all names. Each namespace has an owner with the right to determine the program bound to the namespace. The client, by default, is the owner of root. This allows the client to in-

stall a program to mediate how its names will be translated. For example, a PDA could install a root program to take whatever is returned by lower level name spaces and compress any images to fit the screen size [22].

To illustrate how delegation works, consider how our system implements the WWW namespace to support per-service naming and transport. Traditionally, users type web requests that specify a specific transport protocol (e.g., "http") along with the service name (e.g., "cnn.com"). But the transport used to communicate with a service should not be the concern of the end-user. In our framework, users simply name the service they wish to contact, and services specify the transport for names they control via the hierarchical namespace delegation mechanism. In particular, the root namespace sends web requests to the WWW-root Active Name program, which implements the WWW-root namespace. For bootstrapping (and by default), WWW-root delegates incoming requests to a series of Active Name programs that implement the default HTTP caching and transport protocol. But under the Active Names paradigm, rather than delegate resolution of all names to HTTP-default, the WWW-root namespace has the right to delegate portions of the WWW namespace to other Active Name programs according to any policy it chooses. The WWW-root's policy is to set these mappings as follows: the response for a request to a URL may include in its MIME header a directive specifying an Active Name program to be invoked for subsequent requests for which that URL is a prefix. For example, the reply to a request to `www.cs.utexas.edu/home/smith` can delegate the `www.cs.utexas.edu/home/smith/active/*` namespace, but it cannot delegate the `www.cs.utexas.edu/home/jones/*` namespace, the `www.cs.utexas.edu/*` namespace or the `www.cs.duke.edu/home/smith/*` namespace.

3.5   After Methods

To support composibility and network efficiency in the transport of services, our programming model is to construct a chain of unidirectional filters from request source, through intermediate services, to reply sink. Intuitively, if an Active Name program acts as a layer in a protocol stack, each program should also provide a bidirectional pipe between the layer above it and the layer below, to provide a path for bytes to be sent between the client and the server. Each layer would then be able to filter the bytes sent on the connection as needed.

For efficiency reasons, we take a slightly more complicated approach. Frequently, an Active Name program is only a forwarding agent – it points to where the named resource can be found. In this case, it would be

inefficient to treat the chain of Active Name programs as a pipe, forcing all bytes to traverse back through the chain of programs that led to the server; the inefficiency is particularly pronounced when the forwarding agent runs on a machine remote from both the client and the server. Rather, our system uses a form of "multi-way RPC" based on a distributed continuation-style programming model: before passing control to the next namespace program to interpret the remainder of the name, the current namespace program bundles up its remaining work into an Active Name representing an "after-method" and prepends it to the list of after-methods created by earlier programs. The chain of after-methods is effectively a script of filters used to transport and transform the data being returned by the service once the name is fully resolved. For example, a program to compress data to increase network bandwidth would add the decompression routine as an after-method. Like other Active Name programs, these after-methods are free to run anywhere and subsequent programs may reorder the list.

3.6   API

At the API level, a namespace program takes a string (the remaining part of the name to be resolved), a reference to a data stream (the input to the service the name represents), and a list of after methods (the Active Names of services needed to transport the result of this service to its destination.) The namespace program first determines which namespace program to call next by partially evaluating a name and then delegating further resolution to a sub-namespace or—if the namespace is a leaf and the name is fully resolved—by popping the top after-method from the after methods list.

Then, if the program wants additional work to be done with the result of the call, it adds the corresponding after methods to the after methods list.

Finally, the program calls the next program with the partially resolved name, the remaining list of after methods, and a data stream that comes from either (1) passing the incoming data stream to the next program unchanged, (2) creating a new data stream by filtering the incoming data stream, or (3) creating a new data stream from local state (e.g., by reading data from a cache).

To be practical, our Active Name architecture must be able to be smoothly integrated with legacy clients, servers, and name databases. We accomplish this by using either a library or a proxy that provides default translations between legacy names and corresponding Active Names. For example, we provide a web proxy that allows unmodified browsers to use the Active Names system.
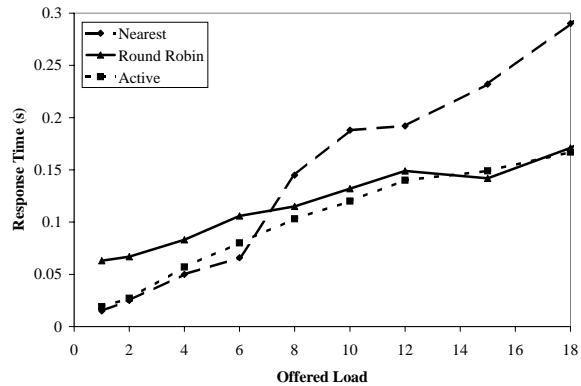

Figure 2: Replicated Service Access.

4   Applications

Given the Active Names framework described above, this section demonstrates the power of three key Active Name principles: extensibility, location independence, and composibility. First, we describe how Active Names support flexible end-to-end bindings between clients and replicated Internet services. Next, we show how Active Name filters are dynamically allocated to strategic wide-area locations to maximize client performance, reduce consumed wide-area bandwidth and server load. Finally, we demonstrate the generality of the system by showing how individual Active Name extensions are composed together to provide significant performance benefits over any individual extension.

4.1   Extensibility

Active Names allow service-specific programs to account for any number of variables in choosing among server replicas, including client, server, and network characteristics. It is beyond the scope of this paper to determine the appropriate replica-selection policy for arbitrary services. However, we attempt to motivate the need for programmability in locating wide-area resources and the benefits of using end-to-end information for replica selection. We conduct the following experiment to demonstrate these points. For these measurements, between one and eighteen clients located at U.C. Berkeley attempted to access a service made up of two replicated servers, one at U.C. Berkeley and the second at the University of Washington. The clients use one of the three policies to choose among the replicas:

- *DNS Round Robin*: In this extension to DNS, a hostname is mapped to multiple IP addresses, and the particular binding returned to a client requesting hostname resolution is done in a round robin

fashion. Services employing DNS round robin achieve randomly load balanced access to replicas. In our experiments, we implement the Round Robin approach in Active Names by randomly choosing among available replicas.

- *Distributed Director*: This product from CISCO [14] executes specialized code in routers to allow services to register their current replica set. Requests (at the IP routing level) bound for a particular service, are automatically routed to the closest replica (as measured by hop count). While still not extensible, Distributed Director achieves geographic locality for service requests. In our experiments, we implement the Distributed Director approach in Active Names by always choosing the nearest replica.

- *Active Names*: With this instance of programmable replica selection, the program uses the number of hops (as reported by traceroute) from the client replica to determine the choice of replica. Replicas further away are less likely to be chosen than nearby replicas. However, this weighing is further biased by a decaying histogram of previous performance. Thus, if a replica has demonstrated better performance in the recent past, it is more likely to be chosen. For example, if replica A is 4 hops from a client, while a replica B is 5 hops away, A is randomly chosen 55% of the time. This probability is equally weighed with observed performance from the replicas. Thus, if performance histograms predict a 5 second access time for A and 4 second access time for B, based on performance alone, B will be chosen 55% of the time. Based on confidence in performance prediction and desire to localize traffic, different weights can be assigned to these two components. For our experiments, the components were weighed equally.

Figure 2 plots the average latency as a function of offered load as perceived by clients continuously requesting a 1 KB file from the replicated service. At low load, the proper replica selection policy is to choose the "nearest" replica at U.C. Berkeley. Thus, the Distributed Director policy shows the best performance at low load. However, as load increases, the U.C. Berkeley replica begins to become over-loaded, and the proper policy is to send approximately half the requests to the University of Washington replica. In this regime, high load at the U.C. Berkeley server offsets the cost of going across the wide area. Such load balancing is implemented by DNS round robin, which achieves the best performance at high load.

Note that the simple Active Names policy is able to track the best performance of the two policies by accounting for distance and previous performance. At low load, both factors heavily bias Active Names toward the U.C. Berkeley replica. However, as load increases and performance at the U.C. Berkeley replica degrades, an increasing number of the requests are routed to the University of Washington achieving better overall performance.

Our algorithm for replica selection is not purported to be optimal. However, it demonstrates the utility of programmable replica selection and the importance of using end-to-end performance measurements in choosing among wide-area replicas. While the above example simplisticly measures performance based on the latency for accessing fixed-size files, more sophisticated Active Names programs could account for the size of requested objects (e.g., optimizing latency for small objects and bandwidth for larger objects) or for the cost of dynamically generating content at the server (e.g., selecting strictly based on estimates of server load when making computationally-intensive requests). Only the end client has information about the *type* of request being generated, and thus only the client can use this information to influence replica selection in an end-to-end and application-specific manner. Schemes such as DNS round robin and Distributed Director are both too static and too far removed from the clients to utilize all relevant information in the replica selection process. For this type of application, Active Networks suffer from a similar lack of end-to-end information because of its focus on applying programs to individual packets in the middle of the network.

4.2 Location Independence

As discussed earlier, the proper way to present web content to a particular client depends upon its individual characteristics. For example, it makes little sense to transmit a 200 KB 1024x768 color image to a handheld device with a 320x200 black and white screen behind a wireless link. To address this mismatch, one current approach [22] is to mediate client access through web proxies. These proxies retrieve requested resources and dynamically distill the content to match individual client characteristics, e.g., by shrinking a color image and converting it to black and white.

At a high level, clients name a web resource but would like the resource transformed based on client-specific characteristics. This model fits in well with Active Names. Clients specify the name of a resource (e.g., a URL retrieved by an HTTP namespace program) and an after-method that specifies the distillation program to be applied on the resource once it is located. The

distillation program ensures that the object returned to the client will match its characteristics. A benefit of using Active Names to encapsulate distillation is the ability to flexibly place the transformation of a requested resource at arbitrary points in the network. For example, if the network path between a server and a proxy is congested, it may not make sense to transmit a large image over the congested network to perform a transformation that greatly reduces the size of the image. In a classic function versus data-shipping tradeoff, it is usually more efficient to perform the transformation at the server and then to transmit the smaller image to the proxy (or directly to the client). Conversely, if the transformation function is expensive, a fast network connection is available, and the server CPU is heavily loaded, then it is often more efficient to transmit the larger image to a proxy (or client) where more CPU cycles are available. Thus, the location-independent programs that comprise Active Names allow for flexible evaluation of function versus data shipping, trading off network bandwidth for computation time.

To demonstrate the above points, we implemented distillation within the Active Names framework and ran the following experiment to evaluate its utility. A client at U.C. Berkeley requests, through a local proxy, an image located at Duke University. This request is made under a number of different circumstances. The first variable is the place where distillation takes place. Active Name resolvers are available at both U.C. Berkeley and Duke so distillation can take place at either location. Three different policies are evaluated in choosing the distillation point: i) Statically assigning distillation at the proxy, the current approach to distillation, ii) Statically assigning distillation to the server, transmitting a smaller image across bottleneck wide-area links, and iii) an active approach where distillation is randomly assigned biased by estimates of end-to-end distillation cost at both the proxy and server sites.

In the active scheme, a Active Name after-method caches CPU load information at both the server and the proxy. Cache values are considered fresh for one minute. When cached load information expires, a separate thread is spawned to refresh cache information (the program responsible for maintaining load information, being an Active Name, can run either locally or remotely). The distillation Active Name program uses this load information, in addition to an estimate of the cost of unloaded distillation based on the size of the image to calculate distillation cost at both the server and the proxy. The program also calculates the cost of transmitting either the full or distilled image to the proxy to arrive at an end-to-end cost of distilling the image at the two locations. The distiller uses this information to bias a random selection of the location for distillation. Thus,

if it is estimated that it will be twice as expensive to perform distillation at the proxy, the chance of performing proxy distillation will be one in three.

Another variable considered in our experiments is the load on the server machine at Duke. In one case, the Name Resolver at Duke University runs on an otherwise unloaded machine. In another, the resolver must compete with ten CPU intensive processes. The load on the server CPU will impact the placement of the distillation program. A third variable in our experiment is the dynamically changing available bandwidth between U.C. Berkeley and Duke (located at opposite ends of a continent). For this experiment, only the first two variables are modified. Available bandwidth is kept constant (as much as possible) by running the experiment late at night. In the future, we plan to investigate the use of SPAND [45] to estimate available bandwidth between two wide-area sites, and to use this information to more intelligently choose the location of distillation. The Active Name resolvers (including all distillation code) are compiled and run with the Java Development Kit, version 1.2 beta 4. The target image is 59 KB and is distilled to 9 KB. Distillation of the image consumes approximately 1 second of CPU time.

Figure 3 graphs the client-perceived latency of retrieving distilled versions of the target Jpeg image as a function of the number of clients simultaneously requesting the image from Duke for the three evaluated policies (static proxy, static server, active). Figure 3(a) shows performance in the case where the server is unloaded, while Figure 3(b) addresses a heavily loaded server, competing with ten CPU-intensive processes. Figure 3(a) shows that, at low levels of offered load (few simultaneous clients), unilaterally placing distillation at the server produces the best results because a smaller amount of data (9K versus 59K) is shipped across the wide area. However, as the number of clients increases, the server at Duke becomes overloaded and the performance degrades relative to the active policy that intelligently allocates distillation of a random percentage of the requests to the proxy. Figure 3(b) shows that, at low levels of offered load and high server CPU load, it is beneficial to place distillation at the proxy site. In this case, distillation at the server is an expensive enough operation to justify the larger long-haul transmission costs. However, as offered load increases, the active policy of splitting requests between the server and the proxy sites once again outperforms the static policy because the single processor at the proxy becomes overloaded.
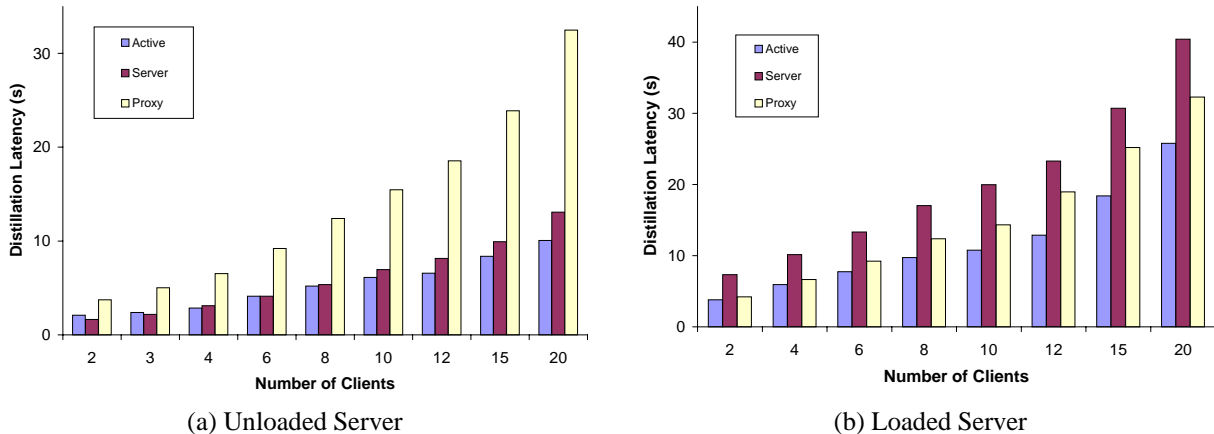
(a) Unloaded Server   (b) Loaded Server

Figure 3: Mobile Distillation Performance.

4.3 Composibility

A key design goal of Active Names is composibility. Not only should applications be able to inject extensions into the network, but they should be able to combine these extensions to provide new services and optimize existing ones. In this subsection, we first examine the costs composibility imposes on the system. We then study the benefits composable extensions bring to a key problem: web caching. Caching is a key technique for reducing both long-haul bandwidth and client-perceived latency. Table 1 breaks down the reason for web caching's relatively low hit rates that hang stubbornly near or below 50% [18, 26]. This table suggests that composing different extensions may be a key technique for addressing the web caching problem. Moreover, these extensions are likely to be provided and implemented by a number of different entities, ranging from clients, to service providers, to third party software vendors. The experiments in this section demonstrate how the Active Name framework is utilized to compose multiple independent extensions, resulting in greater end-to-end performance gain than available from any single approach.

Our continuation passing architecture imposes the overhead of indirection through the "after methods" script when one Active Name program transfers control to another. On a Sun Ultra-10 with a 300MHz UltraSparc-II process running JDK1.2fcs, it takes 3.2 μs for one Active Name program to call another and return via this mechanism, compared to 0.2 μs if it were allowed to make the procedure call directly. Although this is more than an order of magnitude worse, the performance is sufficient to support the composition of relatively coarse-grained services such as we envision.

To determine whether composibility is worth this

cost, we examine the composition of several server-initiated customizations with a client-initiated customization. The semantics of the sample service we implement are that when a client fetches a base page, the service (1) uses the server-side include interface to update the page for the current request, (2) randomly selects two out of eight candidate "banner ad" inline images, repeating the random selection on each viewing of the page, and (3) logs the cookies provided by and the ads selected for each request. We implement these server semantics in two ways. First, we construct them using standard mechanisms that run at the server: the server uses server-side includes to update the page and to execute a perl program that randomly selects advertisements to include on the page; we do not add additional logging to that already provided by the HTTP server. Second, we implement a version of the service by delegating a portion of the HTTP namespace to a set of three Active Name programs (provided by the service). The default HTTP namespace delegates control of all HTTP requests destined to `www.cs.utexas.edu/users/anonymized/` `service` to a "controller" Active Name program that alters the return path for such requests through a "ssi" Active Name program that provides server side-include semantics and through an "advertise" Active Name program that does ad rotation and logging. In the first configuration, all requests for the base page must go to the server; requests for the inline images may be cached. In the second configuration, once the delegation Active Name programs have been installed, both the base page and the inline images may use the cache because cached results will pass through the ssi and advertise Active Name programs before being returned to the client.

For the client-initiated customizations, clients use Active Names to customize their namespace to

Source of Miss	Fraction of Requests	Available Approaches	Client/Server Initiated
Compulsory	19%-30%[47] 45%(*ISP*)	Prefetching [27, 34, 43]	either
		Server replication [49] or push caching [29]	server
		Increase number of clients sharing cache system [10, 18, 26, 47]	client
		Transcoding [22, 3], compression and delta-encoding[39]	client or either
Consistency verify (unmodified)	10%(*ISP*) 2-7%[18] 4-13%[5]	Server-driven consistency [36, 55]	server
Consistency miss	0-4%[18]	delta-encoding[39]	either
Dynamic (cgi or query)	21%(*ISP*) 0-34%[37]	Active cache [11], HPP [17]	server
		TREC [48]	server
Pragma: no-cache	9%(*ISP*) 5.7-7.2%[26]	Hit logging	server
		Active cache [11], function-shipping	server
		Server-driven consistency [55]	server
Redirection	3.7%(*ISP*)	Server selection/anycast [8, 56]	server

Table 1: Workload requirements. Numbers are taken from the literature as noted or from our trace of a large ISP that serves seven million requests containing 65.4GB to 23080 clients over a six-day period .

transcode images sent across a slow modem link. Because clients control their own namespace, adding this transformation to the pipeline is straightforward. The main subtlety is that clients cannot store the distilled images in the standard HTTP cache lest one client's mapping of the URL to the customized image disturb other clients. Rather than cache such results in the HTTP namespace, the client caches such results in the "distiller" namespace instead.

Our experimental set up consists of three machines. The client, a 133 MHz Pentium machine running Microsoft NT3.5 and Sun JDK1.2beta3, communicates with the proxy, a 300MHz Sun UltraSPARC-II machine running Solaris 5.6 and JDK1.2fcs, over a 28.8 kbit/s modem. Both the client and proxy run the Active Name framework. The service being tested is hosted on a departmental web server running on a dual-processor Sun SPARCServer 1000e running Solaris 5.5.1 and the Netscape Enterprise Server 3.0(J) HTTP server. The proxy and server are connected by a department-wide switched 100 Mbit/s Ethernet.

The base page and its header are between 657 bytes and 2393 bytes (depending on where customization occurs) and the advertising banner images range in size from 8421 to 16928 bytes before distillation and from 2595 to 4365 bytes after distillation. The JAR files containing the server's controller, advertise, and ssi customization programs are 2622, 4700, and 3274 bytes, respectively. We begin the experiment with cold caches, except that we fetch two unrelated HTTP documents through the system to cause the JVMs to pre-load most of the basic classes associated with the system's standard HTTP data path, and we fetch two unrelated image files to cause the proxy to load the client's distiller Active Name program.

Figure 4 shows four cases representing the permutations of distillation (on/off) and server customization (on/off). Our client driver program uses the Active Name system running at the client to fetch the base document and then, using parallel connections, to fetch all inline images specified by the base document. After the driver receives each page and associated inline images, it pauses five seconds and repeats the process. The variation in response times from request to request is caused by cache hits and misses to the base page and the randomly selected inline images.

With respect to server customizations, there are three phases to consider. On the first request, no delegation has yet been specified to the client's Active Name system, so the *Server: on* performance closely matches the *Server: off* performance. Reacting to the delegation directive in the first request, the client's Active Name system spawns a background thread to download and install the specified customizations. This background thread is active during the second phase of the experiment—request two for the case when distillation is turned off and requests two and three when distillation is on. As a result, performance for these requests is noticeably worse under server customization than for the standard case. In the third phase—after request three—the client has installed the server customization into its namespace and thus no longer needs to go to the server for ad rotation, hit logging, or SSI expansion. Performance is now significantly better under the customized version (modulo cache hits to the inline images). For example, as Figure 4-a indicates, after the cache is warm and when the inline images are hits, the *Server: on* case provides response times under 0.26 s while the other case requires over 1.3 s per request. In this situation, the Active Names system provides a 5-fold performance improvement. This result is particularly significant in light of human factors studies that suggest that driving computer response time from about a second to significantly less than a second may result in more than a linear increase in user productivity as the system becomes truly interactive [31, 9].

Figure 4 also shows that distillation significantly improves performance for the initial series of requests, and makes little difference once the images are cached at the client. For example, when server customization is
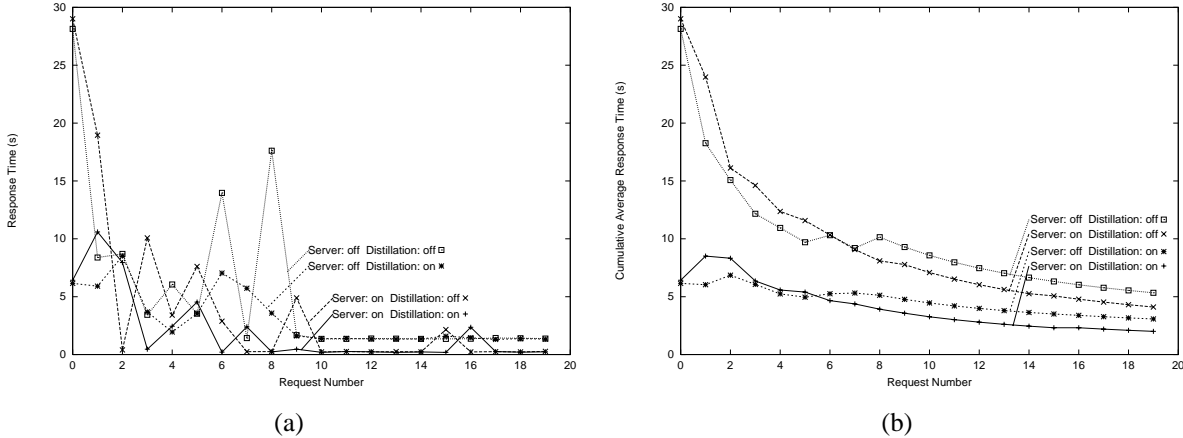
Figure 4: (a) Per-request and (b) cumulative average performance of customizations of the HTTP namespace.

turned on, the five most expensive requests require an average of 15.3 s without distillation, whereas the five most expensive requests averaged 6.67 s under distillation, a speedup of 2.3. Without server customization, the five most expensive requests average 14.1 s and 6.39 s when distillation is off or on, for a speedup of 2.2 for distillation.

Other researchers have noted the advantage of server-controlled caching [11] and distillation [22]. The above experiment suggests that a combination of server and client customizations may be particularly effective. On average for the 20-request sequence, the combination outperforms the distillation-only case by 50% and it outperforms the server-only case by 104%.

5   Related Work

As discussed in Section 2, Active Names are inspired by and provide an alternative to related research in Active Networks and Active Services. Also closely related to Active Names are Transaction Processing monitors [24] (TP monitors). TP monitors provide functionality similar to Active Names for access to databases. The TP monitor directs transactions to *resource managers*, accounting for load on machines, the RPC program number, and any affinity between client and server. Resource managers are usually SQL databases, but can be any server that supports transactions. While Active Names and TP monitors target a number of similar applications, Active Names provides a more general environment for programmable access to wide-area resources. In contrast, TP monitors tend to be more static and more closely associated with the service.

Active Names are also related to the Intentional Name System [1]. Similar to the Active Name ap-

proach, Intentional Names take the stance that applications use naming to describe what they are looking for, as opposed to where to find it. Intentional Names utilize declarative style data structures for maintaining attribute-value pairs used to bind a user-specified name to an appropriate instance of the target resource. However, Intentional Names are not programmable, thus difficult to specialize to individual application requirements, and are also not designed to operate in wide-area environments, targeting single administrative domains. Further, while Intentional Names do support flexible resource location, they do not incorporate efficient transport of resources back to clients.

Other systems have also supported programmable name translation. For example, object-oriented systems such as Smalltalk have long provided application control over the binding between caller and callee. The Mercury RPC system did the same in the mid-80's for a distributed client-server environment.

Current wide-area computing research proposals, such as Globe [50], Globus [19], and Legion [28], propose a number of schemes for locating computational resources across the wide area. These proposals are orthogonal to our work as any of them could be incorporated within the extensible Active Names framework. Ninja [25] proposes a pipelined data flow model for composing services in a clustered and/or mobile environment. While this model is attractive, it does not to date address dynamic migration of computation, as we have demonstrated is crucial for performance. A recent proposal [16] for implementing URN's advocates leveraging DNS and rewriting of names through regular-expression matching in an iterative manner to locate wide-area resources. This scheme could also be implemented more generally within the Active Names framework with namespace programs responsible for name

rewriting and namespace delegation.

Prospero [40] also supports extensible naming to support mobile hosts and the integration of multiple wide-area information services (e.g., WAIS and gopher). Prospero allows users to customize their own namespaces, grouping related information (from an individual's perspective) together. However, customization code runs on the client. Relative to Prospero, our work demonstrates the utility of location-independent and portable programs for name resolution. Programmability in Active Names is similar to Smart Clients [56]. Smart Clients retrieve service-specific code into the client to mediate access to a set of server replicas. Active Names are more general than Smart Clients, with location independent code able to run anywhere in the system allowing for the deployment of a broader range of applications.

Anycasts [8], Nomenclator [41], and Query Routing [35] also allow for resource discovery and replica selection. Anycasts allow a name to be bound to multiple servers, with any single request transmitted to a single replica according to policy in routers or end hosts. Nomenclator uses replicated catalogs with distributed indices to locate wide-area resources. The system also integrates data from multiple repositories for heterogeneous query processing. Query Routing uses compressed indexes of multiple resources and sites to route requests to the proper destination. These approaches show promising results and should, once again, fit well within our extensible framework.

Active Caches [11] allow for customization of cache content through Java programs similar to our extensible cache management system. With Active Caches however, retrieved data files contain programs, with the cache promising to execute the program (which may change the contents of the file) before returning the data to the client. On the other hand, our extensible cache management system uses service-specific programs to mediate all accesses to a service. This approach is more general allowing, for example, the program to manage local cache replacement policy or to perform load balancing on a cache miss. We use a technique similar to Active Caches for delegating programs to individual names, but in keeping with the namespace paradigm, we allow parent directories to control the delegation of entire subdirectories rather than doing delegation on an object-by-object basis. Note that this approach of associating a program with each level of a hierarchical namespace is not new. The HP Brevix and MIT Exokernel research file systems, for example, have examined allowing users to define application-specific programs for each directory in a file system [21, 32]. A directory's program is completely responsible for managing the bits stored inside the directory; for example, this al-

lows applications to customize on-disk data structures to optimize for application-specific reference patterns (e.g., storing HTML files with cross-links in the same disk cylinder).

6 Conclusion and Future Work

This paper describes a framework supporting extensibility for wide-area distributed services through the introduction of location-independent programs that interpose on the naming interface. These Active Names can, for example, customize how a service is located and how its results are transformed and transported back to the client. Our approach is compared to existing schemes for introducing programmability into the network such as Active Networks and Active Services. The paper then describes the implementation of the Active Name prototype and illustrates its utility through a number of sample services, including replicated service selection and mobile distillation of service content. In each case, end-to-end application performance information is leveraged to match or exceed existing static approaches. The need for composibility is illustrated through Internet service access that incorporates extensions from multiple sources. Our results show that Active Name extensions can offer up to a five-fold performance improvement relative to existing static approaches, and that it is necessary to compose multiple extensions to achieve this benefit: no single extension achieves comparable performance.

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